

**FORM 1**  
**INDIVIDUAL ESTATE PROPERTY RECORD AND REPORT**  
**ASSET CASES**

Case No: 12-11195 J  
Case Name: COLLEGE OF THE CHRISTIAN BROTHERS O

Judge: Robert H. Jacobvitz

Trustee Name: MICHAEL J. CAPLAN - CHP 7

Date Filed (f) or Converted (c): 03/27/2012 (f)

341(a) Meeting Date: 05/04/2012

For Period Ending: 01/29/2015

Claims Bar Date: 09/20/2012

1	2	3	4	5	6
Asset Description (Scheduled and Unscheduled (u) Property)	Petition/ Unscheduled Values	Est Net Value (Value Determined by Trustee, Less Liens, Exemptions, and Other Costs)	Property Formally Abandoned OA=554(a)	Sale/Funds Received by the Estate	Asset Fully Administered (FA)/ Gross Value of Remaining Assets
1. Funds in Long, Pound & Komer trust account (u)	4,660.74	4,660.74		4,660.74	FA
2. Funds in First Citizens Bank	1,431.24	1,431.24		1,431.24	FA
3. Funds in NM Bank and Trust	462,435.81	462,435.81		462,531.83	FA
4. Funds in NM Bank and Trust	230,789.16	230,789.16		230,837.08	FA
5. Funds in NM Bank and Trust	9,062.41	9,062.41		9,052.50	FA
6. Contents of Safety Deposit box (u)	10,000.00	10,000.00		0.00	FA
7. Mineral rights (u)	1,000,000.00	50,000.00		0.00	FA
8. Charitable gift from Digneo trust (u)	Unknown	0.00		0.00	FA
9. Charitable gift from Hoyt trust (u)	Unknown	0.00	OA	0.00	FA
10. Claim against City of Santa Fe & Laureate Education (u)	26,000,000.00	0.00		0.00	FA
11. Claim against First Community Bank (u)	1,000,000.00	0.00		0.00	FA
12. Claim against Risk Pooling Trust (u)	60,000.00	0.00		0.00	FA
13. Territorial Charter for College (u)	Unknown	0.00		0.00	FA
14. Documents in boxes in storage (u)	Unknown	0.00		0.00	FA
15. Refund from Lexis Nexis (u)	71.78	71.78		71.78	FA
16. Claim against Risk Pooling Trust (u)	Unknown	0.00	OA	0.00	FA
17. Unclaimed funds held by the State of New Mexico (u)	5,000.00	0.00		0.00	FA
INT. Post-Petition Interest Deposits (u)	Unknown	N/A		0.00	Unknown

	Gross Value of Remaining Assets		
TOTALS (Excluding Unknown Values)	\$28,783,451.14	\$768,451.14	\$708,585.17
			\$0.00
			(Total Dollar Amount in Column 6)

Major activities affecting case closing which are not reflected above, and matters pending, date of hearing or sale, and other action:

09/19/12 All claims are known. This will be a surplus case. The Trustee will abandon the contents of the Safe Deposit Box and a claim against the Hoyt Admin Trust. Trustee will move to file the TFR.

1/14/13 Debtor has objected to most of the Proofs of Claims. These issues will need to be resolved before the trustee can move forward.

1/15/2014 A party is litigating with the debtor over the status of funds held by the estate. Once that issue is resolved, the Trustee will move to close the case.

6/17/2014 All claims have been resolved. Trustee will prepare the TFR.

- Michael Caplan 7/9/2014 Even though this debtor is a non-profit corporation and pays no income tax, tax returns must be filed and the Trustee is awaiting their preparation.

1/27/2015 Debtor provided no prior tax returns or financial information to prepare tax returns. CPA is trying to figure how to file returns without prior information.

Initial Projected Date of Final Report (TFR): 12/31/2015

Current Projected Date of Final Report (TFR): 12/31/2015

Trustee Signature:

/s/ MICHAEL J. CAPLAN - CHP 7

Date: 01/29/2015

MICHAEL J. CAPLAN - CHP 7  
827 EAST SANTA FE AVENUE  
GRANTS, NM 87020  
(505) 287-8891

**FORM 2**  
**ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD**

Case No: 12-11195  
Case Name: COLLEGE OF THE CHRISTIAN BROTHERS O

Trustee Name: MICHAEL J. CAPLAN - CHP 7  
Bank Name: First National Bank - Vinita  
Account Number/CD#: XXXXXX1121  
CHECKING ACCOUNT

Taxpayer ID No: XX-XXX0718  
For Period Ending: 01/29/2015

Blanket Bond (per case limit): \$8,500,000.00  
Separate Bond (if applicable):

1	2	3	4		5	6	7
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)	Account/CD Balance (\$)
08/09/12		Transfer from Acct# XXXXXX9399	Transfer of Funds	9999-000	\$706,610.60		\$706,610.60
09/24/12		First National Bank of Vinita	ACH (AUTO DEBIT)	2600-000		\$333.95	\$706,276.65
10/10/12	1001	INTERNATIONAL SURETIES, LTD Suite 420 701 Poydras St. New Orleans, LA 70139	Blanket Bond No. 016024923	2300-000		\$817.43	\$705,459.22
10/15/12	1001	INTERNATIONAL SURETIES, LTD Suite 420 701 Poydras St. New Orleans, LA 70139	Check did not match Blanket Bond Report	2300-000		(\$817.43)	\$706,276.65
10/15/12	1002	INTERNATIONAL SURETIES, LTD Suite 420 701 Poydras St. New Orleans, LA 70139	Blanket Bond #016024923	2300-000		\$861.73	\$705,414.92
10/16/12		First National Bank of Vinita	ACH (AUTO DEBIT)	2600-000		\$435.53	\$704,979.39
11/06/12		First National Bank of Vinita	ACH (AUTO DEBIT)	2600-000		\$449.52	\$704,529.87
12/07/12		First National Bank of Vinita	ACH (AUTO DEBIT)	2600-000		\$434.35	\$704,095.52
01/08/13		First National Bank of Vinita	ACH (AUTO DEBIT)	2600-000		\$448.55	\$703,646.97
02/07/13		First National Bank of Vinita	ACH (AUTO DEBIT)	2600-000		\$747.13	\$702,899.84
03/07/13		First National Bank of Vinita	ACH (AUTO DEBIT)	2600-000		\$674.17	\$702,225.67
04/05/13		First National Bank of Vinita	ACH (AUTO DEBIT)	2600-000		\$745.65	\$701,480.02
05/07/13		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		\$720.80	\$700,759.22
06/07/13		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		\$744.10	\$700,015.12

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Case Name: COLLEGE OF THE CHRISTIAN BROTHERS O

Trustee Name: MICHAEL J. CAPLAN - CHP 7  
Bank Name: First National Bank - Vinita  
Account Number/CD#: XXXXXX1121  
CHECKING ACCOUNT

Taxpayer ID No: XX-XXX0718  
For Period Ending: 01/29/2015

Blanket Bond (per case limit): \$8,500,000.00  
Separate Bond (if applicable):

1	2	3	4		5	6	7
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)	Account/CD Balance (\$)
07/08/13		First National Bank - Vinita 102 W. Illinois Avenue Vinita, OK 74301	Bank Service Fee	2600-000		\$719.35	\$699,295.77
08/07/13		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$742.58	\$698,553.19
08/30/13	1003	International Sureties, Ltd 701 Poydras St. New Orleans, LA 70139	Bond #016024923	2300-000		\$968.03	\$697,585.16
09/09/13		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$741.77	\$696,843.39
10/07/13		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$716.27	\$696,127.12
10/08/13	15	LexisNexis One Penn's Way New Castle, DE 19720	Accounts Receivable	1290-000	\$71.78		\$696,198.90
11/07/13		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$739.24	\$695,459.66
12/06/13		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$714.67	\$694,744.99
12/12/13	1004	Arthur J. Gallagher & Co. Insurance P.O. Box 742886 Los Angeles, CA 90074-2886	Order dated 11/21/2013 Approving Distribution	2990-000		\$20,585.15	\$674,159.84
01/08/14		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$727.82	\$673,432.02
02/07/14		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$715.12	\$672,716.90
03/07/14		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$645.22	\$672,071.68

**FORM 2**  
**ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD**

Case No: 12-11195  
Case Name: COLLEGE OF THE CHRISTIAN BROTHERS O

Trustee Name: MICHAEL J. CAPLAN - CHP 7  
Bank Name: First National Bank - Vinita  
Account Number/CD#: XXXXXX1121  
CHECKING ACCOUNT

Taxpayer ID No: XX-XXX0718  
For Period Ending: 01/29/2015

Blanket Bond (per case limit): \$8,500,000.00  
Separate Bond (if applicable):

1	2	3	4		5	6	7
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)	Account/CD Balance (\$)
04/07/14		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		\$713.63	\$671,358.05
05/07/14		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		\$689.90	\$670,668.15
06/06/14		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		\$712.15	\$669,956.00
09/03/14	1005	International Sureties, Ltd 701 Poydras St. New Orleans, LA 70139	2014 Bond Payments	2300-000		\$732.68	\$669,223.32
12/08/14	1006	Shelton, Laurel 7452 Jefferson St. NE Albuquerque, New Mexico 87109	Order Granting Trustee's Motion Allowing Compensation & Pymt to Laurel Shelton, CPA, Doc. #139	3410-000		\$2,990.00	\$666,233.32

COLUMN TOTALS	\$706,682.38	\$40,449.06
Less: Bank Transfers/CD's	\$706,610.60	\$0.00
Subtotal	\$71.78	\$40,449.06
Less: Payments to Debtors	\$0.00	\$0.00
Net	\$71.78	\$40,449.06

**FORM 2**  
**ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD**

Case No: 12-11195  
Case Name: COLLEGE OF THE CHRISTIAN BROTHERS O

Trustee Name: MICHAEL J. CAPLAN - CHP 7  
Bank Name: Bank of America  
Account Number/CD#: XXXXXX9399  
CHECKING ACCOUNT

Taxpayer ID No: XX-XXX0718  
For Period Ending: 01/29/2015

Blanket Bond (per case limit): \$8,500,000.00  
Separate Bond (if applicable):

1	2	3	4		5	6	7
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)	Account/CD Balance (\$)
05/29/12	3	New Mexico Bank & Trust 320 Gold St. Sw Albuquerque, NM 87102	Checking Account	1129-000	\$462,531.83		\$462,531.83
05/29/12	5	New Mexico Bank & Trust 320 Gold St. SW Albuquerque, NM 87102	Checking Account	1129-000	\$9,052.50		\$471,584.33
05/29/12	2	First Citizens Bank Raleigh, NC	Checking Account	1129-000	\$1,431.24		\$473,015.57
05/29/12	4	New Mexico Bank & Trust 320 Gold ST. SW Albuquerque, NM 87102	Checking Account	1129-000	\$230,837.08		\$703,852.65
05/29/12	1	Long, Pound & Romer P.O. Box 5098 Santa Fe, NM 87502	Funds in Trust Account	1290-000	\$4,660.74		\$708,513.39
06/29/12		BANK OF AMERICA	BANK AND TECHNOLOGY SERVICE FEE	2600-000		\$742.82	\$707,770.57
07/31/12		BANK OF AMERICA	BANK AND TECHNOLOGY SERVICE FEE	2600-000		\$928.22	\$706,842.35
08/09/12		BANK OF AMERICA		2600-000		\$231.75	\$706,610.60
08/09/12		Transfer to Acct# XXXXXX1121	Transfer of Funds	9999-000		\$706,610.60	\$0.00

COLUMN TOTALS	\$708,513.39	\$708,513.39
Less: Bank Transfers/CD's	\$0.00	\$706,610.60
Subtotal	\$708,513.39	\$1,902.79
Less: Payments to Debtors	\$0.00	\$0.00
Net	\$708,513.39	\$1,902.79

## TOTAL OF ALL ACCOUNTS

	NET DEPOSITS	NET DISBURSEMENTS	ACCOUNT BALANCE
XXXXXX1121 - CHECKING ACCOUNT	\$71.78	\$40,449.06	\$666,233.32
XXXXXX9399 - CHECKING ACCOUNT	\$708,513.39	\$1,902.79	\$0.00
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	\$708,585.17	\$42,351.85	\$666,233.32
	<hr/>		
	(Excludes account transfers)	(Excludes payments to debtors)	Total Funds on Hand
Total Allocation Receipts:	\$0.00		
Total Net Deposits:	\$708,585.17		
Total Gross Receipts:	\$708,585.17		

Trustee Signature: /s/ MICHAEL J. CAPLAN - CHP 7 Date: 01/29/2015

MICHAEL J. CAPLAN - CHP 7  
827 EAST SANTA FE AVENUE  
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